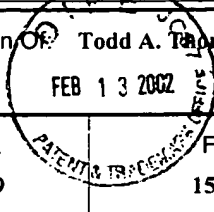


3737

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 9345.17121-CON 1	
In Re Application Of: Todd A. Thompson et al. <div style="text-align: center; margin-top: 5px;">  </div>			
Serial No. 09/883,089	Filing Date 15 June 2001	Examiner Unknown	Group Art Unit 3737
Title: Systems for Applying Ultrasound Energy to the Thoracic Cavity			
<div style="text-align: center;"> Address to: Assistant Commissioner for Patents Washington, D.C. 20231 </div> <div style="text-align: right; margin-top: 10px;"> COPY OF PAPERS ORIGINALLY FILED </div> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(b) </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> <div style="text-align: center; margin-top: 20px;"> 37 CFR 1.97(c) </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <div style="margin-left: 40px;"> 1. a Final Action under 37 CFR 1.113, or 2. a Notice of Allowance under 37 CFR 1.311, </div> <p style="margin-left: 40px;">whichever occurs first.</p> <p>Also submitted herewith is:</p> <div style="margin-left: 40px;"> <input type="checkbox"/> a certification as specified in 37 CFR 1.97(e); </div> <div style="text-align: center; margin-top: 10px;"> OR </div> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c). </div>			

L. Paul
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 9345.17121-CON 1	
In Re Application of Todd A. Thompson et al.				
Serial No. 09/883,089	Filing Date 15 June 2001	Examiner Unknown	Group Art Unit 3737	
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<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <p> <input type="checkbox"/> A check in the amount of _____ is attached. </p> <p> <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-2360 as described below. A duplicate copy of this sheet is enclosed. </p> <div style="margin-left: 40px;"> <input type="checkbox"/> Charge the amount of _____ </div> <div style="margin-left: 40px;"> <input type="checkbox"/> Credit any overpayment. </div> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> Charge any additional fee required. </div> <div style="display: flex; justify-content: space-around; margin-top: 20px;"> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p style="text-align: center; margin: 0;">Certificate of Transmission by Facsimile*</p> <p style="font-size: small; margin: 5px 0;">I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)</p> <div style="border-top: 1px solid black; height: 30px; margin: 5px 0;"></div> <p style="text-align: center; font-size: small; margin: 0;">Signature</p> <div style="border-top: 1px solid black; height: 30px; margin: 5px 0;"></div> <p style="text-align: center; font-size: small; margin: 0;">Typed or Printed Name of Person Signing Certificate</p> </div> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p style="text-align: center; margin: 0;">Certificate of Mailing by First Class Mail</p> <p style="font-size: small; margin: 5px 0;">I certify that this document and fee is being deposited on 17 January 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <div style="border-top: 1px solid black; height: 30px; margin: 5px 0; text-align: center;"> </div> <p style="text-align: center; font-size: small; margin: 0;">Signature of Person Mailing Correspondence</p> <div style="border-top: 1px solid black; height: 30px; margin: 5px 0; text-align: center;"> Mary Szollar </div> <p style="text-align: center; font-size: small; margin: 0;">Typed or Printed Name of Person Mailing Correspondence</p> </div> </div> <p style="font-size: small; margin-top: 10px;">*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <div style="border-top: 1px solid black; height: 10px; margin-top: 5px;"></div> <p style="text-align: center; font-size: small; margin: 0;">Signature</p> <p style="font-size: small; margin: 5px 0;">Daniel D. Ryan, Reg. No. 29,243 Ryan Kromholz & Manion, S.C. P.O. Box 26618 Milwaukee, Wisconsin 53226 (262) 783-1300</p> </div> <div style="width: 45%; text-align: right;"> <p>Dated: 17 January 2002</p> <div style="display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: small;">TECHNOLOGY CENTER</div> <div style="margin-left: 10px;"> <p style="font-size: 2em; font-weight: bold; margin: 0;">RECEIVED</p> <p style="font-size: small; margin: 0;">FEB 21 2002</p> </div> </div> </div> </div>				
CC:				

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 9345.17121-CON 1		Application Number 09/883,089	
				Applicant(s) Thompson et al.			
				Filing Date 15 June 2001		Group Art Unit 3737	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,520,612	28 May 96	Winder et al.			
		5,556,372	17 Sep 96	Talish et al.			
		6,126,619	03 Oct 00	Peterson et al.			
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	<div style="text-align: center;">Translation</div> <div style="display: flex; justify-content: space-between;"> YES NO </div>
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		<div style="display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">TECHNOLOGY CENTER (370)</div> <div style="text-align: center;"> RECEIVED FEB 21 2002 </div> </div>					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							